Appln. No. 09/698,044 Information Discl. Stmnt. dated March 30, 2004

PATENT Customer No. 22,852 Attorney Docket No. 7451.0001-16 InterTrust Ref. No.: IT-5.6.1 (US)

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Cindy Bagfiello

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit: 2132

Examiner: DARROW, Justin T.

in re Application of:

GINTER et al.

Application No.: 09/698,044

Filed: October 30, 2000

For:

SYSTEMS AND METHODS FOR

SECURE TRANSACTION

MANAGEMENT AND

ELECTRONIC RIGHTS

PROTECTION

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir.

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicants bring to the attention of the Examiner the document listed on the attached PTO 1449. To the best of the undersigned's knowledge, this Information Disclosure Statement is being filed before the mailing of the first Office action after the filing of a Request for Continued Examination in the above-referenced application. This Information Disclosure Statement is directed to references Applicants have become aware of in relation to litigation of related U.S. Patent Nos. 5,892,900, 5,915,019, 5,917,912, 5,920,861, 5,949,876, 5,982,891, 6,112, 181, 6,157,721, 6,185,683, 6,253,193 and 6,389,402, of which 6,253,193 is the parent, and 5,982,891 is the grandparent, of the instant

03/31/04 10:44; JetFax #237; Page 3

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application. Copies of the listed documents are provided in electronic format via three (3) CD-ROM disks submitted herewith.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claims in the application and Applicants determine that the cited document does not constitute "prior art" under United States law, Applicants reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916. If the Examiner has any further questions or any additional information is desired, the undersigned may be contacted directly at (650) 849-6643.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P.

Dated: March 30, 2004

Andrew B. Schwaab

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OMB No. 0651-0011

Atty. Docket No.	07451.0001-16000	Appln. No.	09/698,044	
Applicant	Karl L. Ginter, et al.			
Filing Date	October 30, 2000	Group:	2132	

Examiner Initial*	Document Number	issue Date	Name	Class	Sub Class	Filing Date If Appropriate
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	3,790,700	02/05/74	Callais, et al.			•
	3,806,874	04/21/74	Ehrat			
	3,946,200	03/23/76	Juodikis			
	4,162,483	07/24/79	Entenman			·
	4,278,837	07/14/81	Best			
	4,405,829	09/20/83	Rivest et al.			
	4,584,639	04/22/86	Hardy			
····	4,593,183	06/03/86	Fukatsu		·	
	4,622,222	11/11/86	Horváth et al.		Ì	•
	4,677,552	06/30/87	Sibley, Jr.			
	4,700,296	10/13/87	Palmer, Jr. et al.			· · · · · · · · · · · · · · · · · · ·
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	5,418,713	05/23/95	Allen			

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	5,421,006	05/30/95	Jabion et al.			
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· .	5,534,855	07/09/96	Shockley et al.		,	
	5,535,322	07/09/96	Hecht			
	5,629,770	05/13/97	Brassil et al.			
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	5,678,170	10/14/97	Grube, et al.		,	
	5,692,047	11/25/97	McManis			
	5,715,403	02/03/98	Stefik		1	
:	5,732,398	03/24/98	Tagawa			
:	5,765,152	06/09/98	Erickson	-		
: •	5,774,872	06/30/98	Golden, et al.	,		
·	5,910,987	06/08/99	Ginter et al.	<u> </u>		•
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	EP 0 653 695 A2	05/17/95	EPO			
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